

Item 1 - Cover Page

HBK Wealth Management

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Wrap Fee Program Brochure

February 11, 2014 Brochure

This wrap fee program brochure provides information about the qualifications and business practices of HBK Wealth Management. If you have any questions about the contents of this brochure, please contact us at (513)-942-9700 or Joshua.Haire@lpl.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state authority.

HBK Wealth Management is an investment advisory firm registered with the appropriate regulatory authority. Registration does not imply a certain level of skill or training. Additional information about HBK Wealth Management is also available on the SEC's website at www.AdviserInfo.sec.gov.

Item 2 - Material Changes

This Brochure is prepared in the revised format required beginning in 2011. Registered Investment Advisers are required to use this format to inform clients of the nature of advisory services provided, types of clients served, fees charged, potential conflicts of interest and other information. The Brochure requirements include providing a Summary of Material Changes (the "Summary") reflecting any material changes to our policies, practices, or conflicts of interest made since our last required "annual update" filing. In the event of any material changes, this Summary is provided to all clients within 120 days of our fiscal year-end. Our last required filing was filed on November 6, 2013. Of course the complete Brochure is available to clients at any time upon request.

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Item 4 - Services, Fees and Compensation

HBK Wealth Management (“HBK”) was registered as an investment adviser with the Securities and Exchange Commission in 2013 and provides financial planning and portfolio management services to its clients. Such services are offered through the “HBK Wealth Wrap Program” or the “Program”, which has been designed to simplify the payment of management fees and brokerage expenses.

As of December 31, 2013, HBK managed \$231,842,654 assets on a discretionary basis, and no assets on a non-discretionary basis.

David B. Haire is the sole principal owner of HBK. Please see ***Brochure Supplements***, Exhibit A, for more information on Mr. Haire and other individuals who formulate investment advice and have direct contact with clients, or have discretionary authority over client accounts.

General Information

At the beginning of a client relationship, HBK meets with the client, gathers information, and performs research and analysis as necessary. Based on its reviews, HBK generally develops with each client:

- a financial outline for the client based on the client’s financial circumstances and goals, and the client’s risk tolerance level (the “Financial Profile”); and
- the client’s investment objectives and guidelines (the “Investment Plan”).

The Financial Profile is a reflection of the client’s current financial picture and a look to the future goals of the client. The Investment Plan outlines the types of investments HBK will make on behalf of the client in order to meet those goals. The Profile and the Plan are discussed regularly with each client, but are not necessarily written documents.

The Investment Plan will be updated from time to time when requested by the client, or when determined to be necessary or advisable by HBK based on updates to the client’s financial or other circumstances.

Financial Planning

HBK offers limited financial planning services to those clients in need of such service in conjunction with Portfolio Management services. HBK’s limited financial planning services normally address areas such as general cash flow planning, retirement planning, and insurance analysis. The goal of this service is to assess the financial circumstances of the client in order to more effectively develop the client’s Investment Plan. Financial Planning is not offered as a stand-alone service or for a separate fee, but is typically provided in conjunction with the management of the portfolio.

Portfolio Management

To implement the client’s Investment Plan, HBK will manage the client’s investment portfolio on a discretionary basis. As a discretionary investment adviser, HBK will have the authority to supervise and direct the portfolio without prior consultation with the client. The HBK Wealth Wrap Program does not currently include any outside managers.

Notwithstanding the foregoing, clients may impose certain written restrictions on HBK in the management of their investment portfolios, such as prohibiting the inclusion of certain types of investments in an investment portfolio or prohibiting the sale of certain investments held in the account at the commencement of the relationship. Each client should note, however, that restrictions imposed by a client may adversely affect the composition and performance of the client's investment portfolio. Each client should also note that his or her investment portfolio is treated individually by giving consideration to each purchase or sale for the client's account. For these and other reasons, performance of client investment portfolios within the same investment objectives, goals and/or risk tolerance may differ and clients should not expect that the composition or performance of their investment portfolios would necessarily be consistent with similar clients of HBK.

General Fee Information

Fees paid by clients to participate in the HBK Wealth Wrap Program include brokerage expenses (e.g., commissions, ticket charges, etc.) as well as the management fee paid to HBK. Please see **Item 6 - Portfolio Manager Selection and Evaluation** for additional information. Under the all-inclusive billing alternative, HBK will assess one client fee that captures the management, brokerage and administrative portions collectively. The standard rate schedule is set forth below.

Fees paid to HBK are exclusive of and distinct from the fees and expenses charged by mutual funds, ETFs (exchange traded funds) or other investment pools to their shareholders (generally including a management fee and fund expenses, as described in each fund's prospectus or offering materials). Clients will pay certain fees in addition to the fees of the HBK Wealth Wrap Program, as more fully described below.

The client should review all fees charged by funds, HBK and others to fully understand the total amount of fees paid by the client for investment and financial-related services. Clients participating in the HBK Wealth Wrap Program may pay higher or lower fees than clients purchasing such services separately, depending on the cost of services if provided separately and the level of trading in a particular client's account.

Wrap Fee Program Fees

HBK's annual fee for investment management services provided under this Agreement shall be based on the market value of the assets under management in each account and be calculated as follows:

<u>Assets Under Management</u>	<u>Annual Rate</u>
\$0 - \$99,999	1.40%
\$100,000 - \$249,999	1.30%
\$250,000- \$499,999	1.20%
\$500,000 - \$999,999	1.10%
\$1,000,000 - \$2,499,999	1.00%
\$Over \$2,500,000	0.90%

The above fees are negotiable and charged quarterly in advance. Clients may incur additional charges for other account services that are not directly related to the execution and clearing of transactions, including, but not limited to, IRA custodial fees, safekeeping fees, interest charges on margin loans, and fees for transfers of securities. If management begins after the start of a quarter,

fees will be prorated accordingly. With client authorization and unless other arrangements are made, fees are normally debited directly from client account(s).

Either HBK or the client may terminate their Investment Management Agreement at any time, subject to any written notice requirements in the agreement. In the event of termination, any paid but unearned fees will be promptly refunded to the client based on the number of days that the account was managed, and any fees due to HBK from the client will be invoiced or deducted from the client's account prior to termination.

Other Compensation

Certain of HBK's employees are also Registered Representatives of LPL Financial ("LPL"), a FINRA and SIPC member, and registered broker/dealer. As such, they are entitled to receive commissions or other remuneration on the sale of insurance and other products. To protect client interests, HBK's policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to these individuals and also pay an advisory fee to HBK on assets held in the same account. These fees are exclusive of each other. As a result of this relationship, LPL may have access to certain confidential information (e.g., financial information, investment objectives, transactions, and holdings) about HBK clients, even if the client does not establish any account through LPL. If you would like a copy of the LPL privacy notice, please contact Josh Haire.

Item 5 – Account Requirements and Types of Clients

The HBK Wealth Wrap Program is generally offered to individuals, pension and profit-sharing plans, corporations, trusts, estates and charitable organizations. HBK does not generally impose a minimum portfolio value for conventional investment advisory services or a minimum fee.

Item 6 – Portfolio Manager Selection and Evaluation

The HBK Wrap Program was designed to simplify for clients the payment of management fees and brokerage expenses. The Program does not select advisers in addition to HBK, which is the only Portfolio Manager for the Program.

Performance-Based Fees and Side-By-Side Management

HBK does not have any performance-based fee arrangements. "Side by Side Management" refers to a situation in which the same firm manages accounts that are billed based on a percentage of assets under management and at the same time manages other accounts for which fees are assessed on a performance fee basis. Because HBK has no performance-based fee accounts, it has no side-by-side management.

Methods of Analysis

HBK primarily invests in Mutual Funds, ETFs, Individual Stocks, Individual Bonds, Fixed Income Securities, and alternative investments.

ETFs and Mutual Funds will be evaluated and selected based on a variety of factors, including, without limitation: past performance, fee structure, portfolio manager, fund sponsor, overall ratings for safety and return, and other factors.

Fixed Income Investments will be used as a strategic investment to fulfill liquidity and income needs in a portfolio, or to add a component of capital preservation. HBK may evaluate and select individual bonds or bond funds based on a number of factors, including, without limitation, rating, yield, and duration.

In making selections of individual stocks for client portfolios, HBK focuses on the following types of analysis:

Fundamental: Involves reviewing the business and financial information about an issuer; without limitation, the following factors will be considered:

- Financial Strength Ratios
- Price-to-earnings ratios
- Dividend yields; and
- Growth rate to price to earnings ratios

Technical: involves studying past price patterns and trends in the financial market to predict the direction of both the overall market and specific stocks.

Charting: involves gathering and processing price and volume information for a particular security. HBK's charting analysis normally includes, without limitation:

- Mathematical analysis;
- Graphing charts, and estimations of future price movements based on perceived patterns and trends

Cyclical Analysis – is a type of technical analysis that involves evaluating recurring price patterns and trends.

Investment Strategies

From accumulation to distribution, HBK's strategic approach is to invest portfolios in accordance with the Plan that has been developed specifically for each client, designing individual portfolios based on the client's unique circumstances. Generally, HBK is a long-term investor and typically develops a client portfolio based on the client's stage of life.

Clients will be placed in a general model that will be customized according to risk tolerance, time horizon, size of portfolio, and other factors that will vary from client to client. Although two clients may be in the same overall portfolio model, each portfolio will differ based on each client's situation.

The following strategies may be used in varying combinations over time for a given client, depending upon the client's individual circumstances.

Long Term Purchases – securities purchased with the expectation that the value of those securities will grow over a relatively long period of time, generally greater than one year.

Margin Transactions – a securities transaction in which an investor borrows money to purchase a security, in which case the security serves as collateral on the loan.

Options Trading/Writing: a securities transaction that involves buying or selling (writing) an option. If you write an option, and the buyer exercises the option, you are obligated to

purchase or deliver a specified number of shares at a specified price at the exercise of the option regardless of the market value of the security at expiration of the option. Buying an option gives you the right to purchase or sell a specified number of shares at a specified price until the date of expiration of the option regardless of the market value of the security at expiration of the option.

Risk of Loss

While HBK seeks to diversify clients' investment portfolios across various asset classes consistent with their Investment Plans in an effort to reduce risk of loss, all investment portfolios are subject to risks. Accordingly, there can be no assurance that client investment portfolios will be able to fully meet their investment objectives and goals, or that investments will not lose money.

Below is a description of several of the principal risks that client investment portfolios face.

Management Risks. While HBK manages client investment portfolios based on HBK's experience, research and proprietary methods, the value of client investment portfolios will change daily based on the performance of the underlying securities in which they are invested. Accordingly, client investment portfolios are subject to the risk that HBK allocates assets to asset classes that are adversely affected by unanticipated market movements, and the risk that HBK's specific investment choices could underperform their relevant indexes.

Risks of Investments in Mutual Funds, ETFs and Other Investment Pools. As described above, HBK may invest client portfolios in mutual funds, ETFs and other investment pools ("pooled investment funds"). Investments in pooled investment funds are generally less risky than investing in individual securities because of their diversified portfolios; however, these investments are still subject to risks associated with the markets in which they invest. In addition, pooled investment funds' success will be related to the skills of their particular managers and their performance in managing their funds. Pooled investment funds are also subject to risks due to regulatory restrictions applicable to registered investment companies under the Investment Company Act of 1940.

Risks Related to Alternative Investment Vehicles. The value of client portfolios will be based in part on the value of alternative investment vehicles in which they are invested, the success of each of which will depend heavily upon the efforts of their respective Managers. When the investment objectives and strategies of a Manager are out of favor in the market or a Manager makes unsuccessful investment decisions, the alternative investment vehicles managed by the Manager may lose money. A client account may lose a substantial percentage of its value if the investment objectives and strategies of many or most of the alternative investment vehicles in which it is invested are out of favor at the same time, or many or most of the Managers make unsuccessful investment decisions at the same time.

Equity Market Risks. HBK will generally invest portions of client assets directly into equity investments, primarily stocks, or into pooled investment funds that invest in the stock market. As noted above, while pooled investments have diversified portfolios that may make them less risky than investments in individual securities, funds that invest in stocks and other equity securities are nevertheless subject to the risks of the stock market. These risks include, without limitation, the risks that stock values will decline due to daily fluctuations in the markets, and that stock values will decline over longer periods (e.g., bear markets) due to general market declines in the stock prices for all companies, regardless of any individual security's prospects.

Fixed Income Risks. HBK may invest portions of client assets directly into fixed income instruments, such as bonds and notes, or may invest in pooled investment funds that invest in bonds and notes. While investing in fixed income instruments, either directly or through pooled investment funds, is generally less volatile than investing in stock (equity) markets, fixed income investments nevertheless are subject to risks. These risks include, without limitation, interest rate risks (risks that changes in interest rates will devalue the investments), credit risks (risks of default by borrowers), or maturity risk (risks that bonds or notes will change value from the time of issuance to maturity).

Foreign Securities Risks. HBK may invest portions of client assets into pooled investment funds that invest internationally. While foreign investments are important to the diversification of client investment portfolios, they carry risks that may be different from U.S. investments. For example, foreign investments may not be subject to uniform audit, financial reporting or disclosure standards, practices or requirements comparable to those found in the U.S. Foreign investments are also subject to foreign withholding taxes and the risk of adverse changes in investment or exchange control regulations. Finally, foreign investments may involve currency risk, which is the risk that the value of the foreign security will decrease due to changes in the relative value of the U.S. dollar and the security's underlying foreign currency.

Derivatives Risk: HBK may, for certain clients that qualify as "accredited investors" and for whom it is appropriate, invest portions of the client assets in Private Placement Funds that invest in derivative financial instruments ("derivatives") including, without limitation, futures, options, interest rate swaps, forward currency contracts and credit derivatives such as credit default swaps. A small investment in derivatives could have a potentially large impact on an investor's performance. The use of derivatives involves risks different from, or possibly greater than, the risks associated with investing directly in the underlying assets. These risks include: (1) counterparty risk; (2) interest rate risk; (3) basis risk; (4) settlement risk; (5) legal risk; (6) operational risk; and (7) market risk. Counterparty risk is the risk that one of the Fund's counterparties might default on its obligation to pay or perform generally on its obligations. Interest rate risk is the general risk associated with movements in interest rates. Basis risk is the risk associated with the relative movements in two (related) rates or prices. Settlement risk is the risk that a settlement in a transfer system does not take place as expected. Legal risk is the risk that a transaction proves unenforceable in law or because it has been inadequately documented. Operational risk is the risk of unexpected losses arising from deficiencies in a firm's management information, support and control systems and procedures. Market risk is the risk of potential adverse changes in the value of financial instruments resulting from changes in market prices, such as interest, commodity and currency rate movements. In addition, derivatives can be highly volatile, illiquid and difficult to value.

Voting Client Securities

As a policy and in accordance with HBK's client agreement, HBK does not vote proxies related to securities held in client accounts. The custodian of the account will normally provide proxy materials directly to the client. Clients may contact HBK with questions relating to proxy procedures and proposals; however, HBK generally does not research particular proxy proposals.

Best Execution and Benefits of Brokerage Selection

When given discretion to select the brokerage firm that will execute orders in client accounts, HBK seeks "best execution" for client trades, which is a combination of a number of factors, including,

without limitation, quality of execution, services provided and commission rates. Therefore, HBK may use or recommend the use of brokers who do not charge the lowest available commission in the recognition of research and securities transaction services, or quality of execution. Research services received with transactions may include proprietary or third party research (or any combination), and may be used in servicing any or all of HBK's clients. Therefore, research services received may not be used for the account for which the particular transaction was effected.

HBK participates in the ***LPL Strategic Wealth Management Custodial Platform II*** ("LPL"). While there is no direct link between the investment advice HBK provides and participation in the LPL program, HBK receives certain economic benefits from the LPL program. These benefits may include software and other technology that provides access to client account data (such as trade confirmations and account statements), facilitates trade execution (and allocation of aggregated orders for multiple client accounts), provides research, pricing information and other market data, facilitates the payment of HBK's fees from its clients' accounts, and assists with back-office functions, recordkeeping and client reporting. Many of these services may be used to service all or a substantial number of HBK's accounts, potentially including accounts not held at LPL. LPL may also make available to HBK other services intended to help HBK manage and further develop its business. These services may include consulting, publications and conferences on practice management, information technology, business succession, regulatory compliance and marketing. In addition, LPL may make available, arrange and/or pay for these types of services to be rendered to HBK by independent third parties. LPL may discount or waive fees it would otherwise charge for some of these services, pay all or a part of the fees of a third-party providing these services to HBK, and/or LPL may pay for travel expenses relating to participation in such training. Finally, participation in the LPL program provides HBK with access to mutual funds which normally require significantly higher minimum initial investments or are normally available only to institutional investors.

The benefits received through participation in the LPL program do not necessarily depend upon the proportion of transactions directed to LPL. The benefits are received by HBK, in part because of commission revenue generated for LPL by HBK's clients. This means that the investment activity in client accounts is beneficial to HBK, because LPL does not assess a fee to HBK for these services. This creates an incentive for HBK to continue to recommend LPL to its clients. While it may be possible to obtain similar custodial, execution and other services elsewhere at a lower cost, HBK believes that LPL provides an excellent combination of these services. These services are not soft dollar arrangements, but are part of the institutional platform offered by LPL.

Directed Brokerage

HBK does not allow directed brokerage accounts.

Aggregated Trade Policy

HBK may enter trades as a block where possible and when advantageous to clients whose accounts have a need to buy or sell shares of the same security. This blocking of trades permits the trading of aggregate blocks of securities composed of assets from multiple client accounts, so long as transaction costs are shared equally and on a pro-rata basis between all accounts included in any such block. Block trading allows HBK to execute equity trades in a timelier, equitable manner, and may reduce overall costs to clients.

HBK will only aggregate transactions when it believes that aggregation is consistent with its duty to seek best execution (which includes the duty to seek best price) for its clients, and is consistent with the terms of HBK's Investment Advisory Agreement with each client for which trades are being aggregated. No advisory client will be favored over any other client; each client that participates in an aggregated order will participate at the average share price for all HBK's transactions in a given security on a given business day, with transaction costs generally shared pro-rata based on each client's participation in the transaction. On occasion, owing to the size of a particular account's pro rata share of an order or other factors, the commission or transaction fee charged could be above or below a breakpoint in a pre-determined commission or fee schedule set by the executing broker, and therefore transaction charges may vary slightly among accounts. Accounts may be excluded from a block due to tax considerations, client direction or other factors making the account's participation ineligible or impractical.

HBK will prepare, before entering an aggregated order, a written statement ("Allocation Statement") specifying the participating client accounts and how it intends to allocate the order among those clients. If the aggregated order is filled in its entirety, it will be allocated among clients in accordance with the Allocation Statement. If the order is partially filled, it will generally be allocated pro-rata, based on the Allocation Statement, or randomly in certain circumstances. Notwithstanding the foregoing, the order may be allocated on a basis different from that specified in the Allocation Statement if all client accounts receive fair and equitable treatment, and the reason for different allocation is explained in writing and is approved by an appropriate individual/officer of HBK. HBK's books and records will separately reflect, for each client account included in a block trade, the securities held by and bought and sold for that account. Funds and securities of clients whose orders are aggregated will be deposited with one or more banks or broker-dealers, and neither the clients' cash nor their securities will be held collectively any longer than is necessary to settle the transaction on a delivery versus payment basis; cash or securities held collectively for clients will be delivered out to the custodian bank or broker-dealer as soon as practicable following the settlement, and HBK will receive no additional compensation or remuneration of any kind as a result of the proposed aggregation.

Custody

LPL is the custodian of all client accounts at HBK. It is the custodian's responsibility to provide clients with confirmations of trading activity, tax forms and at least quarterly account statements. Clients are advised to review this information carefully, and to notify HBK of any questions or concerns. Clients are also asked to promptly notify HBK if the custodian fails to provide statements on each account held.

From time to time and in accordance with HBK's agreement with clients, HBK will provide additional reports. The account balances reflected on these reports should be compared to the balances shown on the brokerage statements to ensure accuracy. At times there may be small differences due to the timing of dividend reporting, pending trades or other similar issues.

Investment Discretion

As described in *Item 4 - Services, Fees and Compensation*, HBK manages portfolios on a discretionary basis. This means that after an Investment Plan is developed for the client's investment portfolio, HBK will execute that plan without specific consent from the client for each transaction. For discretionary accounts, a Limited Power of Attorney ("LPOA") is executed by the client, giving HBK the authority to carry out various activities in the account, generally including the

following: trade execution; the ability to request checks on behalf of the client, and the withdrawal of advisory fees directly from the account. HBK then directs investment of the client's portfolio using its discretionary authority. The client may limit the terms of the LPOA to the extent consistent with the client's investment advisory agreement with HBK and the requirements of the client's custodian. The discretionary relationship is further described in the agreement between HBK and the client.

Item 7 - Client Information Provided to Portfolio Managers

HBK is the only portfolio manager under the HBK Wealth Wrap Program. No information is shared with any other portfolio manager.

Item 8 - Client Contact with Portfolio Managers

HBK is the only portfolio manager under the HBK Wealth Wrap Program. No restrictions are placed on client's ability to contact or consult with HBK.

Item 9 - Additional Information

Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to a client's evaluation of HBK or the integrity of HBK's management. HBK has no disciplinary events to report.

Other Financial Industry Activities and Affiliations

Associated persons of HBK are also licensed to sell insurance in Ohio. In providing financial planning and other related advisory services, these individuals may recommend the purchase of products under circumstances where they would be entitled to receive a commission or other compensation in the transaction. In all such circumstances, however, the client will be notified of this payment in advance of the transaction, and under no circumstances will the client pay both a commission to such individuals and a management fee to HBK on the same pool of assets.

Certain of HBK's employees are also Registered Representatives of LPL Financial, a FINRA and SIPC member, and registered broker/dealer. As such, they are entitled to receive commissions or other remuneration on the sale of insurance and other products. In order to protect client interests, HBK's policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to these individuals and also pay an advisory fee to HBK on assets held in the same account. These fees are exclusive of each other.

Code of Ethics and Personal Trading

HBK has adopted a Code of Ethics ("the Code"), the full text of which is available to you upon request. HBK's Code has several goals. First, the Code is designed to assist HBK in complying with applicable laws and regulations governing its investment advisory business. Under the Investment Advisers Act of 1940, HBK owes fiduciary duties to its clients. Pursuant to these fiduciary duties, the Code requires persons associated with HBK (managers, officers and employees) to act with honesty, good faith and fair dealing in working with clients. In addition, the Code prohibits such associated persons from trading or otherwise acting on insider information.

Next, the Code sets forth guidelines for professional standards for HBK's associated persons. Under the Code's Professional Standards, HBK expects its associated persons to put the interests of its clients first, ahead of personal interests. In this regard, HBK associated persons are not to take inappropriate advantage of their positions in relation to HBK clients.

Third, the Code sets forth policies and procedures to monitor and review the personal trading activities of associated persons. From time to time HBK's associated persons may invest in the same securities recommended to clients. Under its Code, HBK has adopted procedures designed to reduce or eliminate conflicts of interest that this could potentially cause. The Code's personal trading policies include procedures for limitations on personal securities transactions of associated persons, reporting and review of such trading and pre-clearance of certain types of personal trading activities. These policies are designed to discourage and prohibit personal trading that would disadvantage clients. The Code also provides for disciplinary action as appropriate for violations.

Participation or Interest in Client Transactions

Because associated persons may invest in the same securities as those held in client accounts, HBK has established a policy requiring its associated persons to pre-clear transactions in these securities with the Chief Compliance Officer. The goal of this policy is to avoid any conflict of interest that may present itself in these situations. Certain securities, such as CDs, treasury obligations and open-end mutual funds are exempt from this pre-clearance requirement. However, in the event of other identified potential trading conflicts of interest, HBK's goal is to place client interests first.

Consistent with the foregoing, HBK maintains policies regarding participation in initial public offerings (IPOs) and private placements to comply with applicable laws and avoid conflicts with client transactions.

Finally, if associated persons trade with client accounts (e.g., in a bundled or aggregated trade), and the trade is not filled in its entirety, the associated person's shares will be removed from the block, and the balance of shares will be allocated among client accounts in accordance with HBK's written policy.

Review of Accounts

Managed portfolios are reviewed at least quarterly, but may be reviewed more often if requested by the client, upon receipt of information material to the management of the portfolio, or at any time such review is deemed necessary or advisable by HBK. These factors may include but are not limited to, the following: change in general client circumstances (marriage, divorce, retirement); or economic, political or market conditions. One or more Investment Adviser Representatives and/or a firm principal review all accounts.

Account custodians are responsible for providing monthly or quarterly account statements which reflect the positions (and current pricing) in each account as well as transactions in each account, including fees paid from an account. Account custodians also provide prompt confirmation of all trading activity, and year-end tax statements, such as 1099 forms. In addition, HBK provides at least a quarterly report for each managed portfolio. This written report normally includes a summary of portfolio holdings and performance results. Additional reports are available at the request of the client

Client Referrals and Other Compensation

As noted above, HBK may receive an economic benefit from LPL in the form of support products and services it makes available to HBK and other independent investment advisors that have their clients maintain accounts at LPL. These products and services, how they benefit our firm, and the related conflicts of interest are described in ***Item 6 - Portfolio Manager Selection and Evaluation***. The availability of LPL's products and services to HBK is based solely on our participation in the program, and not on the provision of any particular investment advice. Neither LPL nor any other party is paid to refer clients to HBK.

Financial Information

HBK does not require nor solicit prepayment of more \$1,200 in fees per client, six months or more in advance, and therefore has no disclosure required for this item.

Exhibit A

Brochure Supplement

Form ADV Part 2B

Item 1 - Cover Page

David Bernard Haire, CFP®

CRD# 1715944

of

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August 8, 2014

This brochure supplement provides information about David Haire, and supplements the HBK Wealth Management ("HBK") brochure. You should have received a copy of that brochure. Please contact HBK at 513-942-9700 if you did not receive HBK's brochure, or if you have any questions about the contents of this supplement.

Additional information about David is available on the SEC's website at www.AdviserInfo.sec.gov.

Item 2 - Educational Background and Business Experience

David Bernard Haire (year of birth 1949) is President of HBK Wealth Management (formerly known as Haire Bohmer Wealth Management Group) and has 26 years of industry experience. David formed Haire Bohmer Wealth Management Group in 1999 and provided financial planning and portfolio management services in conjunction with his employment as a Financial Planner and Registered Representative of LPL Financial ("LPL"). In 2013, the firm's name was changed to HBK Wealth Management. In order to provide wealth management services independent of LPL, HBK registered as an investment adviser with the SEC. David continues to serve as a Registered Representative of LPL in order to provide HBK clients certain products and services. Prior to joining LPL, David served as a Registered Representative with various broker/dealers.

David earned a Bachelor of Arts from Marian University in 1971, a Master of Arts from Ball State University in 1974 and a Master of Science from College Financial Planning in 2005. David has also been a CERTIFIED FINANCIAL PLANNER™ professional* since 1992.

* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination and experience requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP® Certification Examination. The Examination tests the candidate's ability to apply financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions over a 2-day period. At least 3 years of qualifying full-time work experience are required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process.

Item 3 - Disciplinary Information

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however David has no such disciplinary information to report.

Item 4 - Other Business Activities

David is also a Registered Representative of LPL Financial ("LPL"), an SEC registered broker/dealer and member of FINRA and SIPC. As Registered Representative, he is entitled to receive commissions or other remuneration on the sale of insurance and other products. In order to protect client interests, HBK's policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to David and also pay an advisory fee to HBK on assets held in the same account. These fees are exclusive of each other.

Item 5 - Additional Compensation

Other than as stated above, David has no other income or compensation to disclose.

Item 6 - Supervision

As the sole owner of HBK, David supervises all duties and activities of the firm, and is responsible for all advice provided to clients. He can be reached at 513-942-9700.

Brochure Supplement

Form ADV Part 2B

Item 1 - Cover Page

Michael Sullivan Bohmer, CFS

CRD# 2790985

of

HBK Wealth Management

9075 Centre Pointe Drive
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513-942-9700

www.HBKWealthManagement.com

August 8, 2014

This brochure supplement provides information about Michael Bohmer (“Mike”), and supplements the HBK Wealth Management (“HBK”) brochure. You should have received a copy of that brochure. Please contact HBK at 513-942-9700 if you did not receive HBK’s brochure, or if you have any questions about the contents of this supplement.

Additional information about Mike is available on the SEC’s website at www.AdviserInfo.sec.gov.

Item 2 - Educational Background and Business Experience

Michael Sullivan Bohmer (year of birth 1972) is an Investment Adviser Representative of HBK. Prior to joining HBK, Mike was an Investment Adviser Representative of LPL Financial, LLC from 2001 to 2013. Mike continues to serve as a Registered Representative of LPL Financial Corporation, a broker-dealer, where he has been employed since 2001. Mike’s previous industry experience includes working as a Financial Planner with Donahue Securities, Inc. and serving as a Registered Representative with Metlife Securities, Inc.

Mike earned his Bachelors in Economics from the University of Notre Dame in 1995. Mike is also a Certified Fund Specialist (CFS)*.

* The CFS certification is granted by the Institute of Business & Finance. The CFS is a certification indicating an individual’s expertise in mutual funds and the mutual fund industry. These individuals advise clients on which mutual funds best suit their particular needs. The CFS designation does not

license individuals to buy or sell mutual funds; however, in many cases CFS do have this license, which enables them to buy and sell the funds for their clients. The CFS is the oldest designation in the mutual fund industry. Training is provided by the Institute of Business & Finance (IBF) in the form of a 60-hour self-study program. Course topics include, but are not limited to, portfolio theory, dollar-cost averaging and annuities. The course includes a final exam, administered by the National Association of Securities Dealers, and an open-book case study.

Item 3 - Disciplinary Information

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however Mike has no such disciplinary information to report.

Item 4 - Other Business Activities

Mike is also a Registered Representative of LPL Financial ("LPL"), an SEC registered broker/dealer and member of FINRA and SIPC. As Registered Representative, he is entitled to receive commissions or other remuneration on the sale of insurance and other products. In order to protect client interests, HBK's policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to Mike and also pay an advisory fee to HBK on assets held in the same account. These fees are exclusive of each other.

Item 5 - Additional Compensation

Other than as stated above, Mike has no other income or compensation to disclose.

Item 6 - Supervision

David Haire, President, of HBK is responsible for supervising Mike and for reviewing accounts. David can be reached at 513-942-9700.

Brochure Supplement

Form ADV Part 2B

Item 1 - Cover Page

John Michael Kilcoyne, CPA, CFP®

CRD# 3221909

of

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August 8, 2014

This brochure supplement provides information about John Kilcoyne, and supplements the HBK Wealth Management (“HBK”) brochure. You should have received a copy of that brochure. Please contact HBK at 513-942-9700 if you did not receive HBK’s brochure, or if you have any questions about the contents of this supplement.

Additional information about John is available on the SEC’s website at www.AdviserInfo.sec.gov.

Item 2 - Educational Background and Business Experience

John Michael Kilcoyne (year of birth 1972) is an Investment Adviser Representative of HBK. Prior to joining HBK, John was an Investment Adviser Representative with LPL Financial, LLC from 2001 to 2013. John continues to serve as a Registered Representative of LPL Financial Corporation, a broker-dealer, where he has been employed since 2001.

John earned his bachelors degree in Accounting from the University of Notre Dame in 1995. He became a CPA* in 1996 and a CERTIFIED FINANCIAL PLANNER™ professional** in 2002.

*A CPA is a Certified Public Accountant. All CPA candidates must pass the Uniform CPA Examination to qualify for a CPA certificate and license to practice public accounting. While the exam is the same regardless of where it is taken, every state/jurisdiction has its own set of education and experience requirements that individuals must meet. However, most states require at least a bachelor’s degree and a concentration in accounting, and at least one year of public

accounting experience under the supervision of or verification by a CPA. Once the designation is attained, the CPA is required to meet continuing education requirements.

** The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination and experience requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP® Certification Examination. The Examination tests the candidate's ability to apply financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions over a 2-day period. At least 3 years of qualifying full-time work experience are required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process.

Item 3 - Disciplinary Information

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however John has no such disciplinary information to report.

Item 4 - Other Business Activities

John is also a Registered Representative of LPL Financial ("LPL"), an SEC registered broker/dealer and member of FINRA and SIPC. As Registered Representative, he is entitled to receive commissions or other remuneration on the sale of insurance and other products. In order to protect client interests, HBK's policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to John and also pay an advisory fee to HBK on assets held in the same account. These fees are exclusive of each other.

Item 5 - Additional Compensation

Other than as stated above, John has no other income or compensation to disclose.

Item 6 - Supervision

David Haire, President, of HBK is responsible for supervising John and for reviewing accounts. David can be reached at 513-942-9700.

Brochure Supplement

Form ADV Part 2B

Item 1 - Cover Page

Bethany Ann Bohmer

CRD# 5640182

of

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August 8, 2014

This brochure supplement provides information about Bethany Bohmer, and supplements the HBK Wealth Management ("HBK") brochure. You should have received a copy of that brochure. Please contact HBK at 513-942-9700 if you did not receive HBK's brochure, or if you have any questions about the contents of this supplement.

Additional information about Bethany is available on the SEC's website at www.AdviserInfo.sec.gov.

Item 2 - Educational Background and Business Experience

Bethany Ann Bohmer (year of birth 1980) is an Investment Adviser Representative of HBK. Prior to joining HBK, Bethany was an Investment Adviser Representative of LPL Financial, LLC from 2009 to 2013. Bethany continues to serve as a Registered Representative of LPL Financial Corporation, a broker-dealer, where she has been employed since 2009. Her prior experience includes serving as a Financial Analyst with Spotality from 2008 -2009.

Bethany earned her Bachelor of Science in Finance from Butler University in 2003.

Item 3 - Disciplinary Information

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however Bethany has no such disciplinary information to report.

Item 4 - Other Business Activities

Bethany is also a Registered Representative of LPL Financial (“LPL”), an SEC registered broker/dealer and member of FINRA and SIPC. As Registered Representative, she is entitled to receive commissions or other remuneration on the sale of insurance and other products. In order to protect client interests, HBK’s policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to Bethany and also pay an advisory fee to HBK on assets held in the same account. These fees are exclusive of each other.

Item 5 - Additional Compensation

Other than as stated above, Bethany has no other income or compensation to disclose.

Item 6 - Supervision

David Haire, President, of HBK is responsible for supervising Bethany and for reviewing accounts. David can be reached at 513-942-9700.

Brochure Supplement

Form ADV Part 2B

Item 1 - Cover Page

Mary Kathryn Herrington, CFP®

CRD# 4582994

of

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August 8, 2014

This brochure supplement provides information about Mary Herrington, and supplements the HBK Wealth Management ("HBK") brochure. You should have received a copy of that brochure. Please contact HBK at 513-942-9700 if you did not receive HBK's brochure, or if you have any questions about the contents of this supplement.

Additional information about Mary is available on the SEC's website at www.AdviserInfo.sec.gov.

Item 2 - Educational Background and Business Experience

Mary Kathryn Herrington (year of birth 1968) is an Investment Adviser Representative of HBK. Prior to joining HBK, Mary was an Investment Adviser Representative of LPL Financial, LLC from 2010 to 2013. Mary continues to serve as a Registered Representative of LPL Financial Corporation, a broker-dealer, where she has been employed since 2010.

Mary earned her Bachelor of Arts in Economics from University of Notre Dame in 1990. Mary has also been a CERTIFIED FINANCIAL PLANNER™ professional* since 2011.

* The CFP® certification is granted by Certified Financial Planner Board of Standards, Inc. (CFP Board). To attain the certification, the candidate must complete the required educational, examination and experience requirements set forth by CFP Board. Certain designations, such as the CPA, CFA and others may satisfy the education component, and allow a candidate to sit for the CFP®

Certification Examination. The Examination tests the candidate's ability to apply financial planning knowledge to client situations. The 10-hour exam is divided into three separate sessions over a 2-day period. At least 3 years of qualifying full-time work experience are required for certification. Qualifying experience includes work in the area of the delivery of the personal financial planning process to clients, the direct support or supervision of others in the personal financial planning process, or teaching all, or any portion, of the personal financial planning process.

Item 3 - Disciplinary Information

Advisers are required to disclose any material facts regarding certain legal or disciplinary events that would be material to your evaluation of an adviser; however Mary has no such disciplinary information to report.

Item 4 - Other Business Activities

Mary is also a Registered Representative of LPL Financial ("LPL"), an SEC registered broker/dealer and member of FINRA and SIPC. As Registered Representative, she is entitled to receive commissions or other remuneration on the sale of insurance and other products. In order to protect client interests, HBK's policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to Mary and also pay an advisory fee to HBK on assets held in the same account. These fees are exclusive of each other.

Item 5 - Additional Compensation

Other than as stated above, Mary has no other income or compensation to disclose.

Item 6 - Supervision

David Haire, President, of HBK is responsible for supervising Mary and for reviewing accounts. David can be reached at 513-942-9700.

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Form ADV Part 2B

Item 1 - Cover Page

Joshua Scott Haire, JD

of

HBK Wealth Management

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August 8, 2014

This brochure supplement provides information about Joshua Haire (“Josh”), and supplements the HBK Wealth Management (“HBK”) brochure. You should have received a copy of that brochure. Please contact HBK at 513-942-9700 if you did not receive HBK’s brochure, or if you have any questions about the contents of this supplement.

Additional information about Josh is available on the SEC’s website at www.AdviserInfo.sec.gov.

Educational Background and Business Experience

Joshua Scott Haire (year of birth 1976) joined HBK in 2013 and serves as the firm’s Chief Compliance Officer. Josh has also served as a Registered Representative of LPL Financial, LLC since 2013. Prior to joining HBK, Josh was a Senior Wealth Planner for PNC Bank in their Trust Department from the end of 2011 till joining HBK. He also helped launch Indie Asset Partners, LLC in October of 2010, where he served as a Wealth Advisor and Chief Compliance Officer. From 2008 to 2010, Josh was a Wealth Advisor with Chamberlain Wealth Management. Josh’s prior experience also includes working as an Associate Attorney with Giddings, Whitsitt, and Williams, PC where he practiced general litigation and estate planning for three years.

Josh earned his Bachelor of Science in Biology from Ball State University in 1999, and received his Juris Doctorate*(JD) from University of Cincinnati College of Law in 2004. He spent two years teaching and coaching in the Twin Lakes School Corporation before attending law school.

*A Juris Doctorate degree is the degree commonly conferred by law schools.

Disciplinary Information

There is no disciplinary information to report regarding Josh.

Other Business Activities

Josh is also a Registered Representative of LPL Financial (“LPL”), an SEC registered broker/dealer and member of FINRA and SIPC. As Registered Representative, he is entitled to receive commissions or other remuneration on the sale of insurance and other products. In order to protect client interests, HBK’s policy is to fully disclose all forms of compensation before any such transaction is executed. Clients will not pay both a commission to Josh and also pay an advisory fee to HBK on assets held in the same account. These fees are exclusive of each other.

Additional Compensation

Other than as stated above, Josh has no other income or compensation to disclose.

Supervision

David Haire, President, of HBK is responsible for supervising Josh and for reviewing accounts. David can be reached at 513-942-9700.